

# EVIDENCE-BASED PSYCHOTHERAPIES FOR COMPETENCY RESTORATION TREATMENT

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# Competency Domains -Discrete Model

Factual Understanding

Rational Understanding

Rationally Consult with Counsel

# Underlying Skills

## Factual Understanding

- Memory/recall
- Comprehension
- Language
- Attention/concentration

## Rational Understanding

- Reality-based appraisals
- Judgment
- Decision-making
- Abstract reasoning
- Working memory

## Consult with Counsel

- Communication
- Appreciation
- Impulse control
- Mood regulation

# Identifying the Interfering Symptom and the Skill Deficit



# Interfering Symptoms/Deficits

Competency Ability	Underlying Skills	Interfering Symptoms/Deficits
Factual Understanding	Memory/retrieval	Attention/Concentration
	Comprehension	Disorganized Thought Processes
	Expressive language	Severe Anxiety
	Receptive language	Disorientation
		Learning Deficits
Rational Understanding	Reality-based Appraisals	Delusional Beliefs
	Judgment	Impaired Reality-Testing
	Decision-making	Impulsivity
	Abstract Reasoning	Disordered Thought Processes
	Reasoning	Agitation
Consult with Counsel	Communication	Mania
	Appreciation	Withdrawal
	Impulse Control	Apathy

# “Treating” Incompetence

## Alleviation of Symptoms

- Delusions
- Disorganized thoughts
- Disorganized behavior
- Agitation
- Hallucinations
- Mania
- Hopelessness



## Skill Improvement

- Expand knowledge base
- Manage anxieties
- Manage misperceptions
- Behavior strategies



# Consulting with Counsel Deficits:

Interpersonal difficulties

Behavioral difficulties

Clinical symptoms

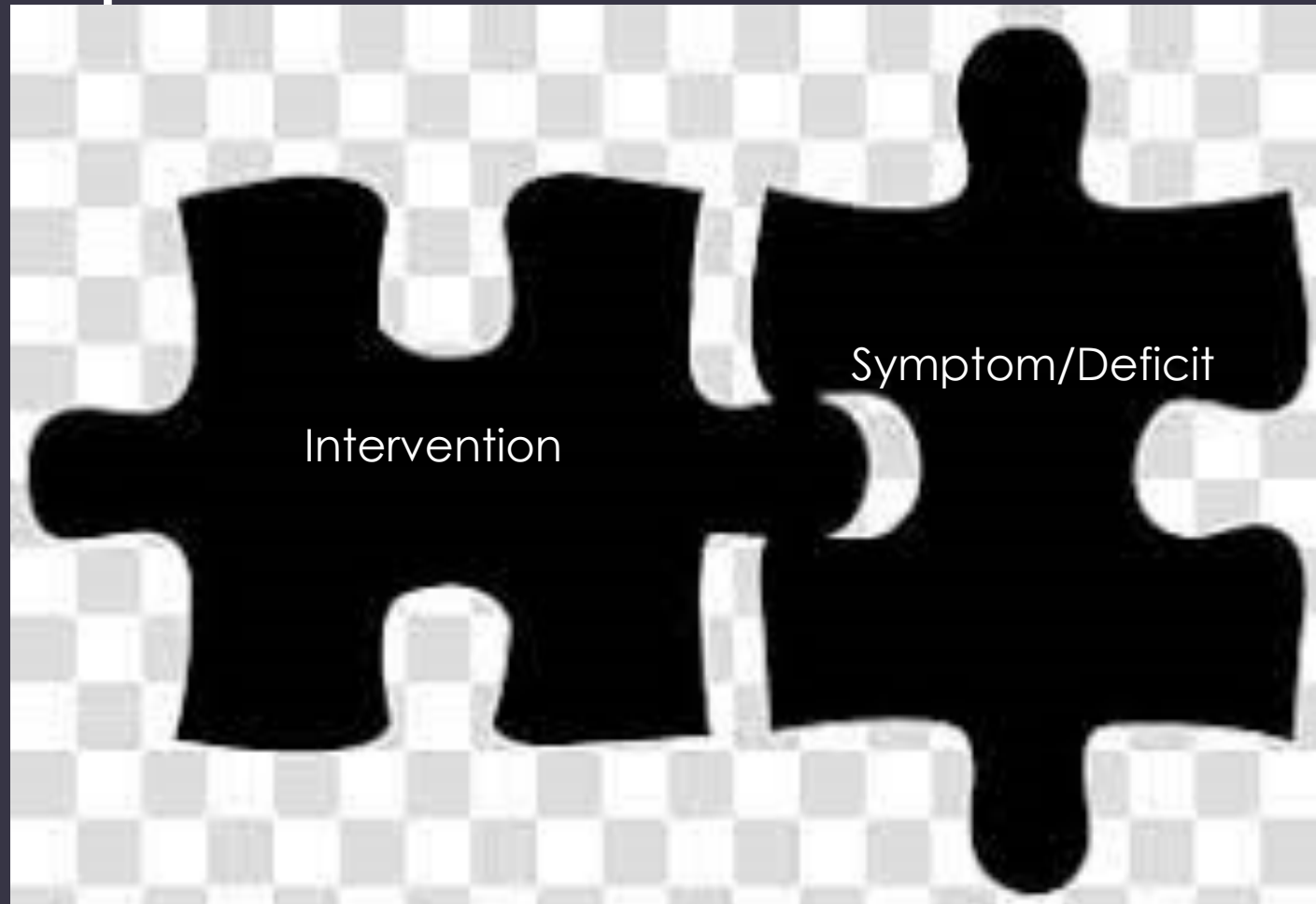


# Targeting the Symptom/Deficit

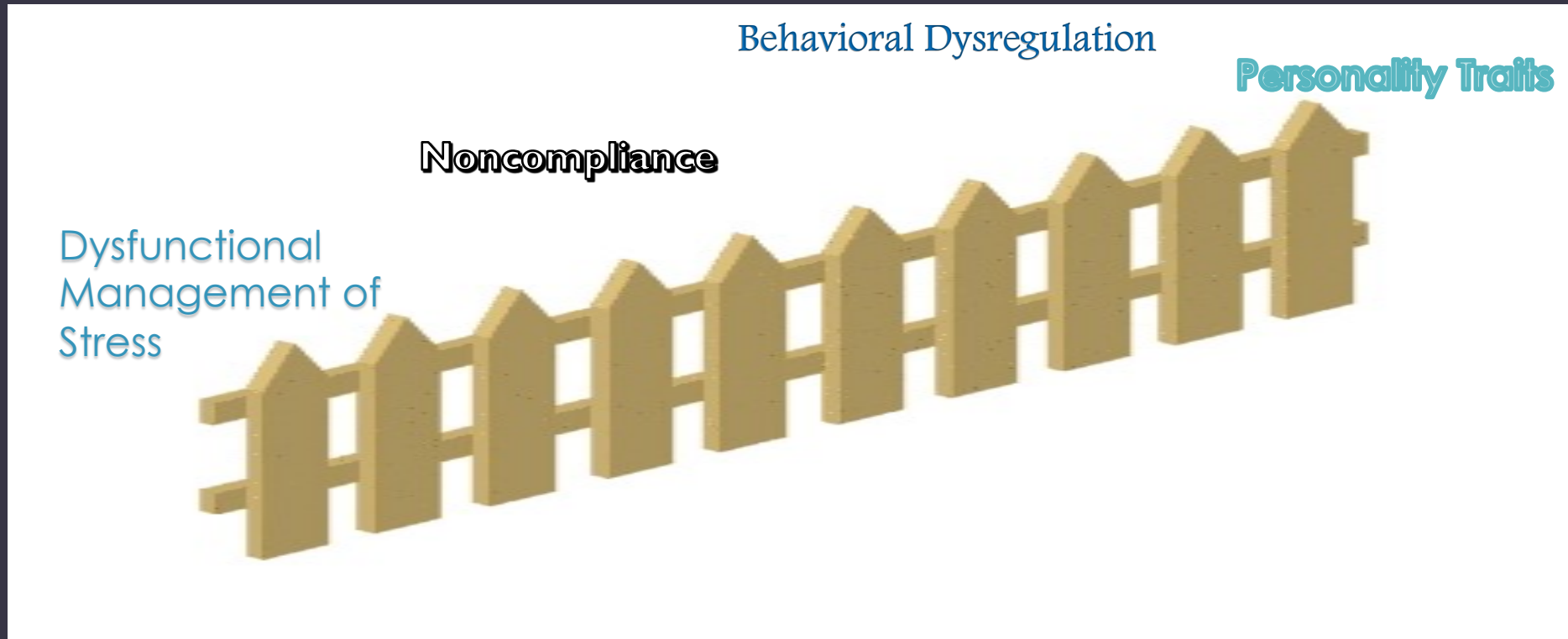




# With Specific Interventions



# Barriers to Treatment



# Psychotherapy

## Intervention

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graph TD; Intervention --> DecreaseSymptom[Decrease Symptom]; Intervention --> IncreaseCompetencySkill[Increase Competency Skill]; Intervention --> AddressAntecedents[Address Antecedents]; Intervention --> AddressBarriers[Address Barriers to Treatment]; Intervention --> AddressTrajectory[Address Trajectory];
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Decrease Symptom

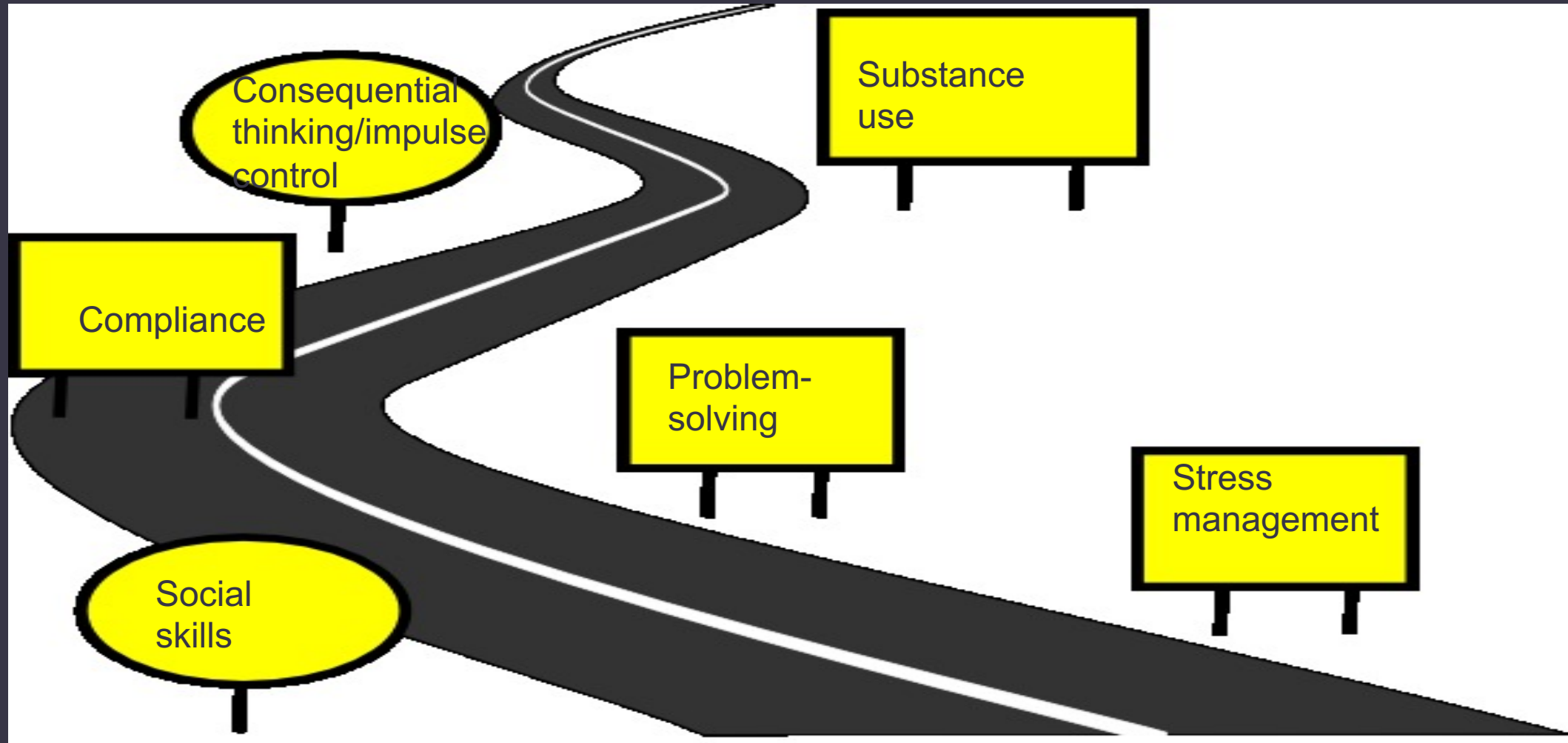
Increase Competency Skill

Address Trajectory

Address Antecedents

Address Barriers to Treatment

# Trajectory



# ACCEPTANCE AND COMMITMENT THERAPY (ACT)

Alexis Humenik, M.A., M.S.C.P.

# Rationale for ACT for Treatment of Psychosis

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Even with medication adherence, positive symptoms of psychosis persist and are predictors of rehospitalization.

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Traditional interventions focus on symptom reduction.

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However, attempts at thought suppression can increase symptoms and distress.

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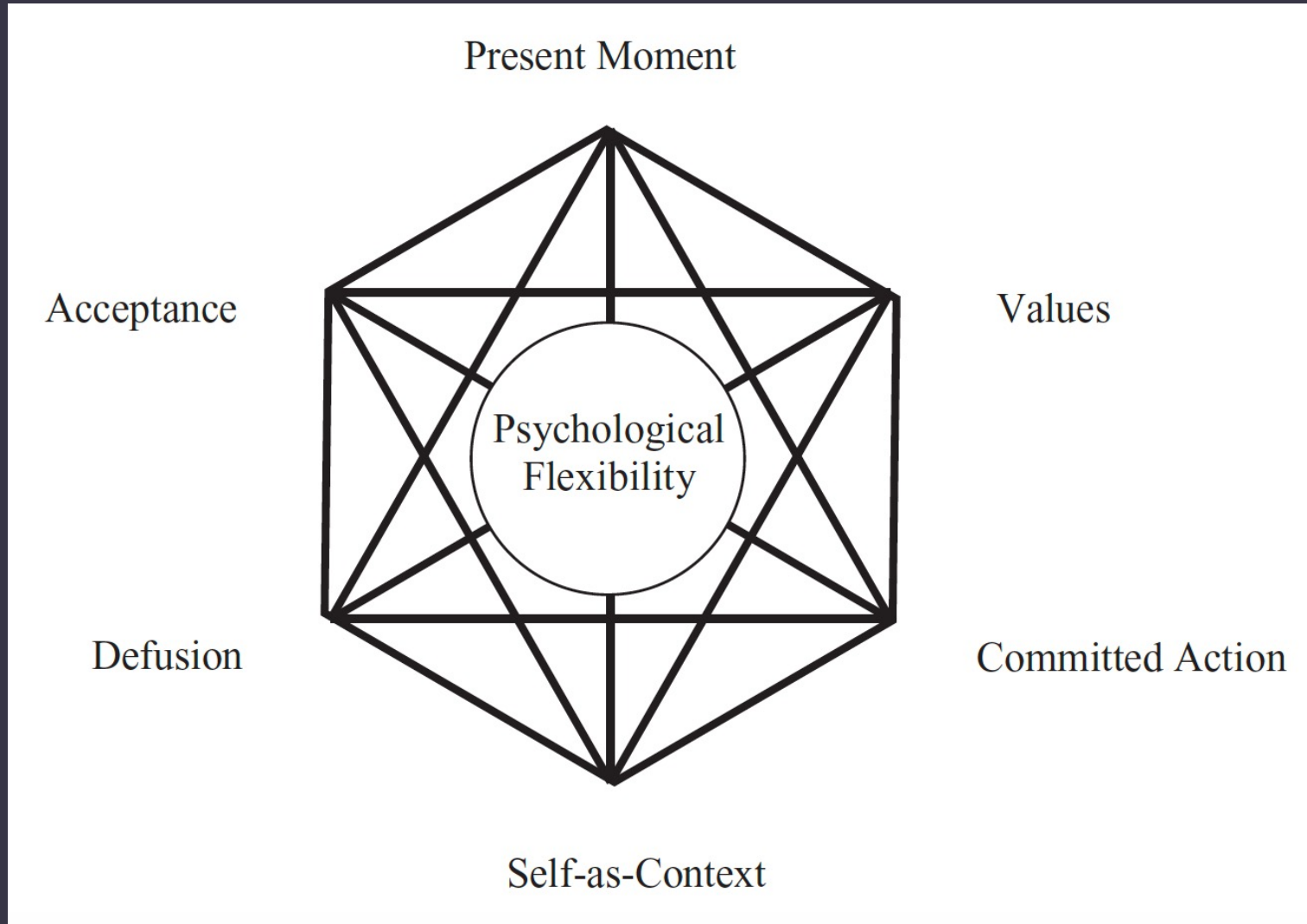
Treatments that focus on modification of thoughts can lead to fusion with the content and increased self-focus.

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Because a significant proportion of individuals with psychosis experience persisting symptoms, a focus on symptom reduction may be unhelpful.

(Bach & Hayes, 2002; Bloy et al., 2011; Wegner et al., 2009)

# Acceptance and Commitment Therapy (ACT)



# Delusions as Experiential Avoidance

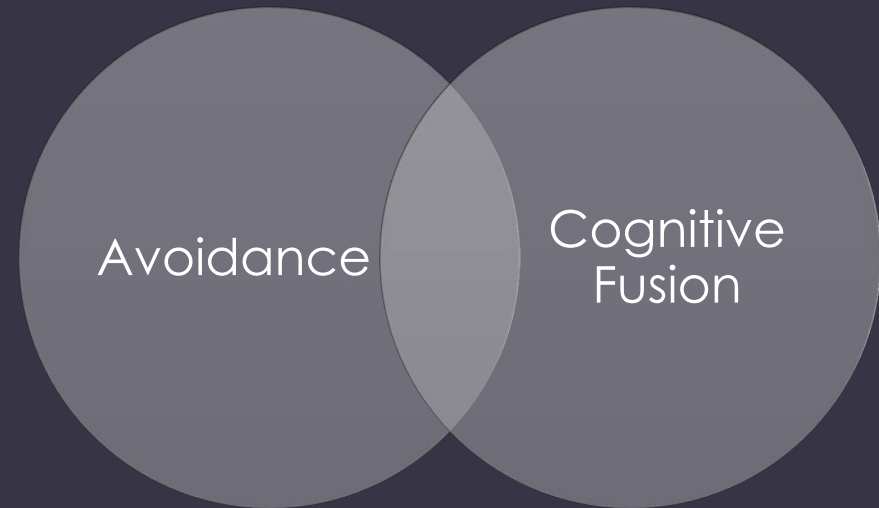
ACT is based on the idea that many maladaptive behaviors are a result of attempts to avoid or suppress thoughts, feelings, or bodily sensations.

## Passive Avoidance

- Person seeks to avoid experiences/triggering situations (e.g., anxiety, shame, humiliation) and attempt to reduce the experiences

These processes maintain symptoms through fusion with the content of delusions

## Active Avoidance

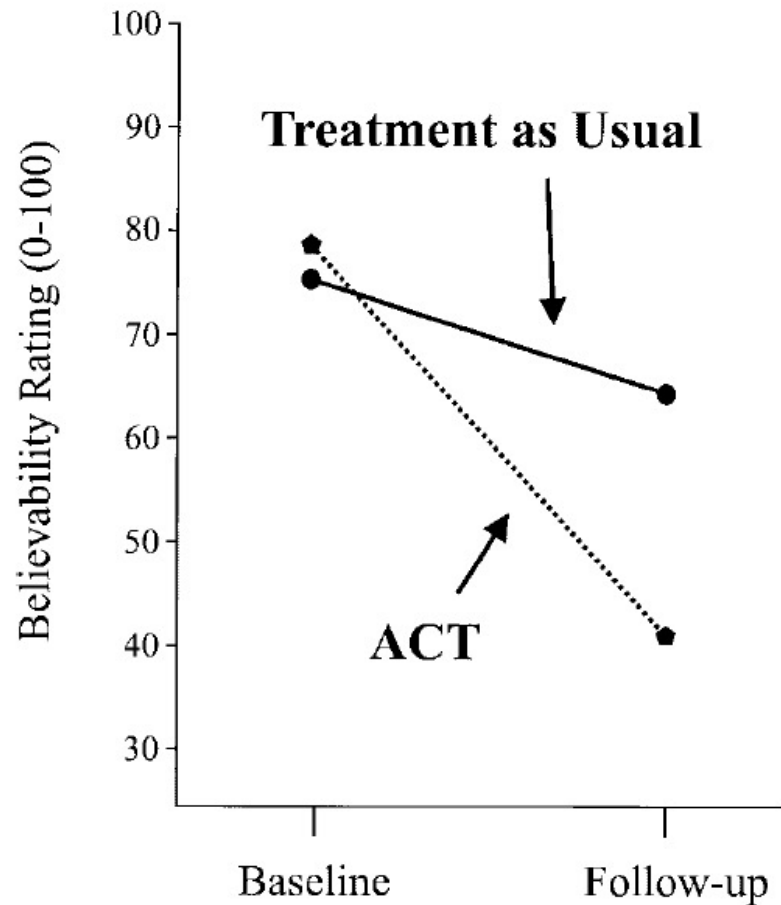


(O'Donoghue et al., 2018)



# Research Support for ACTp

- Greater use of mindfulness strategies associated with changes in distress associated with delusions.
- Six RCTs exist that evaluate the efficacy of ACT for people diagnosed with psychosis.
  - 4 studies looked specifically at the effect of ACT on symptoms of psychosis, whereas others focus on ACT in relation to well-being.
- Findings suggest that ACT can reduce impact of psychotic symptoms and disruption in functioning.
  - Two studies evaluated self-reported believability of hallucinations/delusions.
  - Lower re-hospitalization rates also yielded for ACTp
- Positive effects of ACTp have been attributed to targeting processes involved in psychological flexibility
- Evidence base for both individual and group modalities.



# Effectiveness of ACTp

- 80 inpatients experiencing AH or delusions upon admission
- Random assignment to ACT or TAU
  - ACT: 4 sessions + TAU
- RESULTS
  - Rehospitalization rates:
    - 20% ACT, 40% TAU within 4mo
  - Frequency of AH/delusions:
    - ACT: More symptoms reported
  - Believability:
    - ACT: statistically significant difference in believability scores. Larger difference than TAU [F(1, 29) 4.36, p < .05].

# Who is ACT-p appropriate for?

Individuals with some degree of insight into symptoms of their mental illness

Individuals with the ability to think abstractly

Individuals who are stabilized (especially for group settings)

# Case Example

- “Brian”: 32-year-old White male hospitalized for competency restoration services
- Charges: Felony Assault
- Symptoms: Persecutory delusions, anxiety associated with paranoia
- Impact on competency to stand trial: delusional ideation interferes with appreciation and rational understanding of courtroom procedures.

# ACT HEXAFLEX

**PRESENT**

*Past - Future*

Rumination & attempts to test validity of thoughts

**ACCEPTANCE**

*Experiential Avoidance*

Avoidance of activities due to paranoia/anxiety; Substance misuse

**VALUES**

*Lack of Direction*

Values: relationship with family, freedom

**ACT**

**PSYCHOLOGICAL FLEXIBILITY**

*Inflexibility*

**DEFUSION**

*Cognitive Fusion*

Fused with paranoid thoughts

**COMMITTED ACTION**

*Inaction/Stuck*

Not engaging in treatment

**SELF AS CONTEXT**

*Self as Content*

Conceptualization of Brian

Substance use,  
thoughts that  
“judge is out to  
get me”

Externalizing  
thoughts  
using  
language

Clarify &  
Strengthen  
Values

Identify  
Avoidance &  
“Passengers”

Mindfulness to  
notice thoughts  
& experiences

Defusion to  
create distance  
between events  
and evaluations

Willingness &  
Committed  
Action

Freedom;  
Achievement;  
Relationship with  
Family

Noticing  
paranoid  
thoughts &  
anxiety

Actions consistent  
with freedom  
value (e.g.,  
working with  
treatment  
providers)

# Intervention

- Symptom reduction on its own is not necessary for competency restoration.
- ACTp processes such as mindfulness and cognitive defusion may influence the believability of delusions.
- If patients are able to gain distance from delusional ideations to rationally appraise psycholegal concepts, competency may be restored.

## ACT as a Competency Intervention

# COGNITIVE BEHAVIORAL THERAPY FOR PSYCHOSIS (CBT-P)

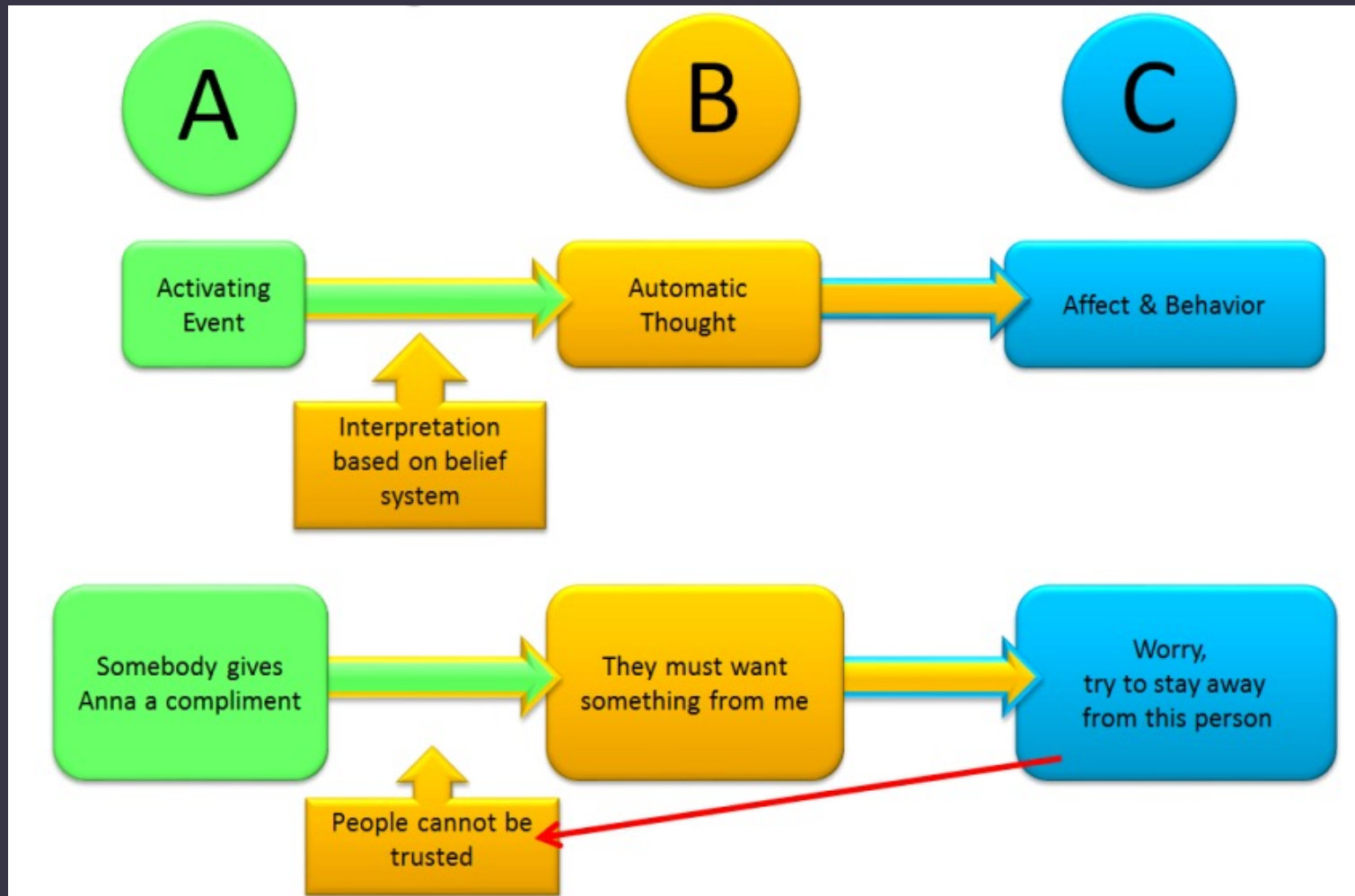
Kristin Neville, M.A.

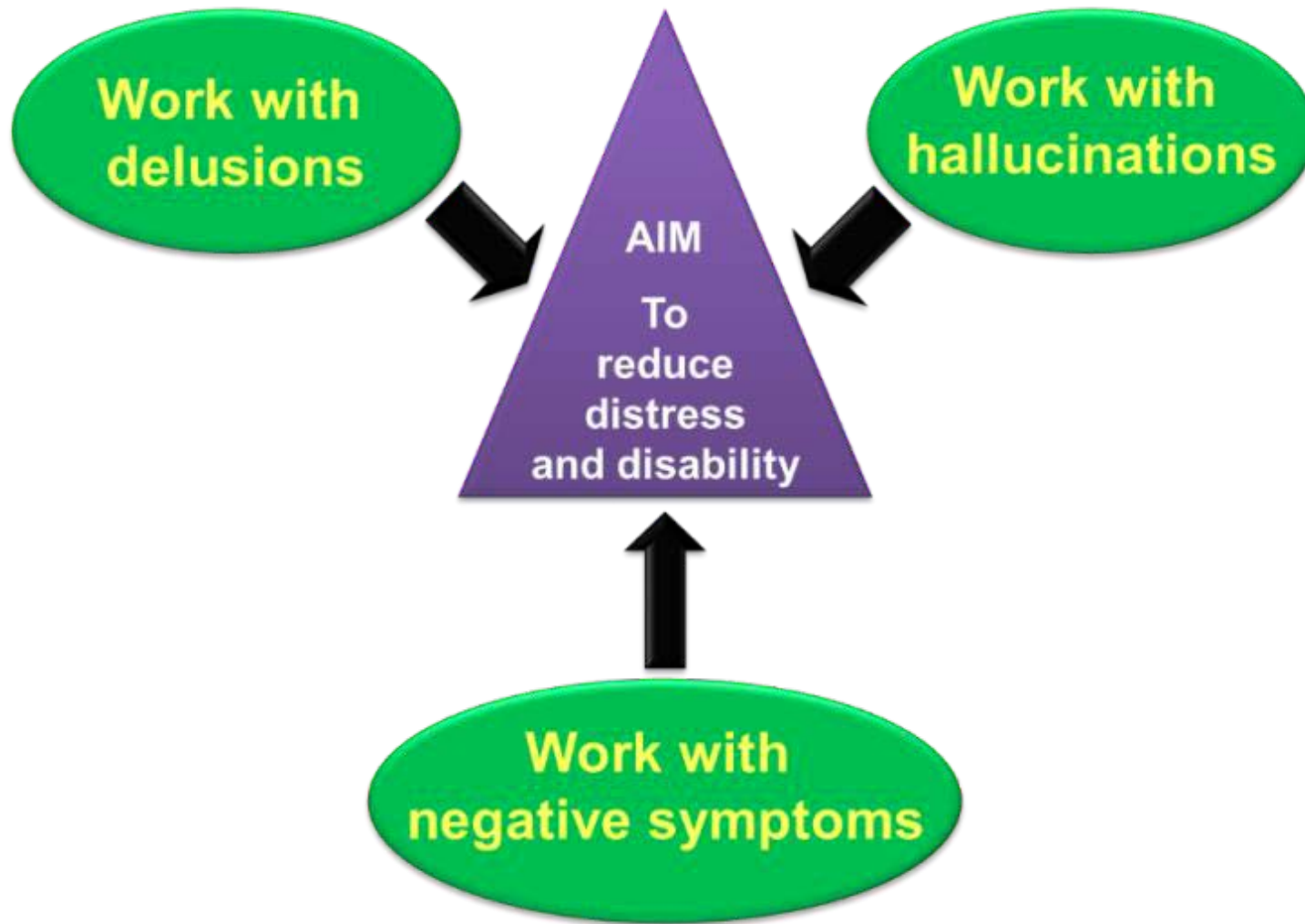


# CBT-p

- **Evidenced-Based Treatment**
- **CBT-P can have a positive impact on the experience of positive symptoms, levels of depression and anxiety**
- **Group CBT-P in an inpatient setting can help decrease distress associated with psychotic symptoms, increase insight, reduce negative symptoms, and readmission rates.**
- **Cognitive-behavioral therapy is effective in treating negative as well as positive symptoms in schizophrenia resistant to standard antipsychotic drugs, with its efficacy sustained over nine months of follow-up**
- **50-65% of patients displaying reduced symptomology when treated with CBT-P in conjunction with medication**
- **CBT-P has been recommended as a frontline treatment in treatment guidelines for schizophrenia published by the American Psychiatric Association (APA), Patient Outcomes Research Team (PORT), and the National Institute for Health and Care Excellence (NICE) in the United Kingdom.**

# Basics of CBT





CBT-P

# CBT-P Therapeutic Outline

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*Engagement:* Empathy, normalizing, resolving ambivalence, & Columbo style

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*Assessment:* Understanding the first episode in detail, ABC assessment model, & narrative approach

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*Formulation:* goal is to develop a shared psychological understanding of the patient's problem(s)/symptom(s)

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*Goals:* Based on the patient's problem list and formulation

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*Interventions:* Set appropriate interventions and evaluate effectiveness (e.g. reality testing/behavioral experiments; focusing on reasoning style, schema, and automatic thoughts)

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*Relapse Work:* Relapse cognitions, assessment, personal pattern of relapse, and relapse prevention interventions

Re-evaluating  
beliefs  
through offering  
alternative  
explanations

Reality-testing

Verbal Challenges  
of Delusions

Normalizing  
Cognitive Processes

# CBT-p and Delusions

# Reality- Testing Intervention

## Catch It:

- What is the automatic thought?
- What was going through your mind?
- Is this thought helping me reach my goal?

## Check It:

- How did it make you feel/do?
- What is the evidence for/against it?
- What would you say to a friend with that thought?
- Is this a mistake in thinking (e.g., jumping to conclusions; all or none)?

## Change It:

- What is an alternative? Another possibility?
- Could you think anything else about it?
- Does the new thought help you reach your goal?

# Effectiveness of CBT-P

Turner et al., (2020)

Meta-analysis reviewing randomized controlled trials (RCTs) resulting in inclusion of 35 RCTs comparing CBTp with treatment-as usual (TAU) or active controls (AC).

## Results:

- CBTp demonstrated a positive effect for hallucinations ( $g = 0.34$ ,  $P < .01$ ) and delusions ( $g = 0.37$ ,  $P < .01$ ) when compared with any control.
- Compared with TAU, CBTp demonstrated a positive effect for hallucinations ( $g = 0.34$ ,  $P < .01$ ) and delusions ( $g = 0.37$ ,  $P < .01$ ).
- CBTp also demonstrated a positive effect on hallucinations ( $g = 0.34$ ,  $P < .01$ ) but not for delusions although this comparison was underpowered for active control.

Conclusion: CBT-p was an efficacious intervention for hallucinations and delusions; however, delusions may be less amenable to change via CBTp than hallucinations.

# Identifying Patients

**The following considerations should be made when selecting patients for CBTp groups:**

- **Target specific domains for treatment & recruit patients with need in target area (e.g. paranoia)**
- **Maximize patients' level of shared experience (e.g. same phase of illness)**
- **Patients who are stable enough to participate in treatment**
- **Minimize heterogeneity in cognitive ability**
- **Counterbalance motivated and unmotivated individuals**



# Case Example

- **"John" 30-year-old Male**
- **Felony assault against a special victim**
- **Interfering Symptoms: Persecutory and Grandiose Delusions and Auditory Hallucinations**
- **Impact on CST: Delusional ideations impact his ability to rationally understand and appreciate his current legal proceedings**

# Interventions



Re-evaluating beliefs through offering alternative explanations for presence of law enforcement



Reality-testing: Additional information needed and how he could obtain the additional information.



Verbal Challenges of Delusions: Weigh evidence of delusional & alternative beliefs. Offer alternative explanations to challenge delusional beliefs.



Normalizing Cognitive Processes: delusions as reasonable attempt to find meaning when frightened or anxious. Highlight function of reducing confusion and fear.

# CBT-p for Competency Restoration

Reduce symptom  
distress

Normalize  
Cognitive  
Processes

Increase insight into  
psychosis to  
separate delusions  
from legal situation

# DIALECTICAL BEHAVIOR THERAPY (DBT)

Aishah Augusta-Parham, M.S.

# Dialectical Behavioral Therapy (DBT)

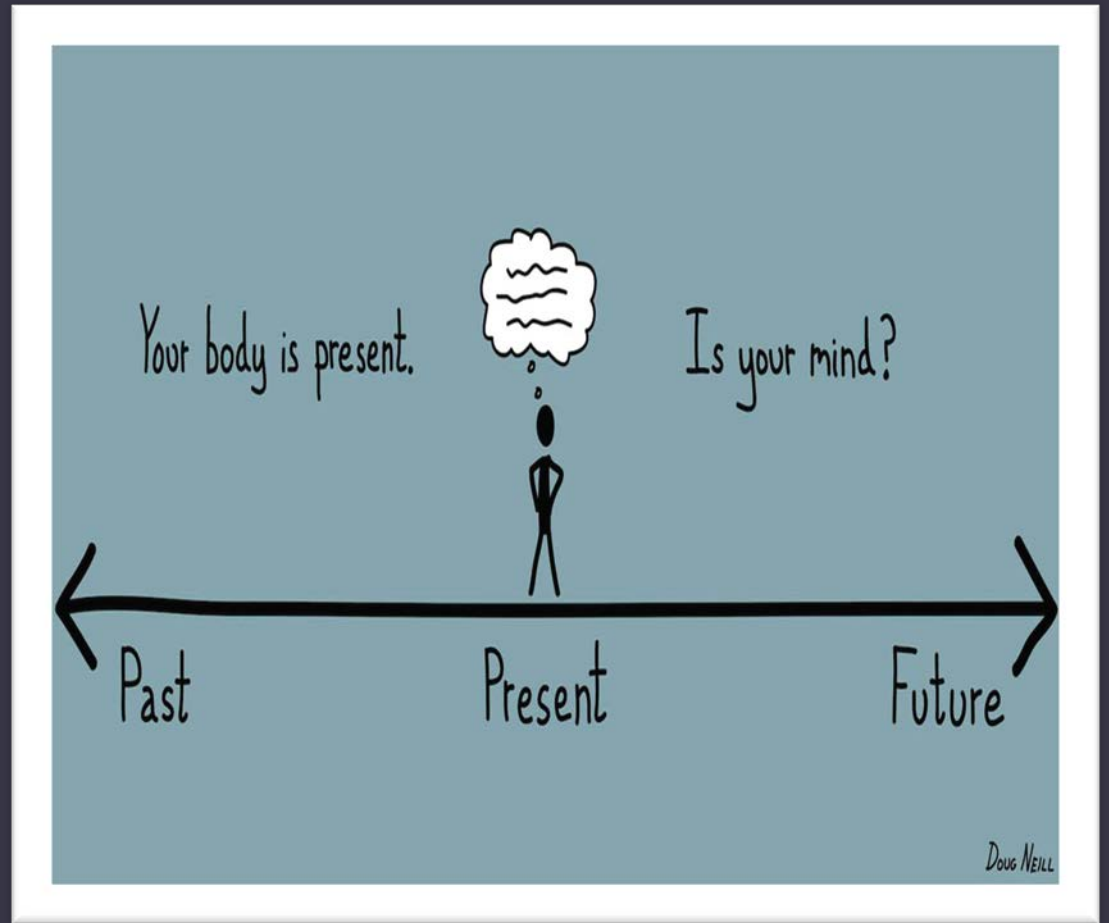
- Mindfulness
- Interpersonal effectiveness
- Emotional regulation
- Distress tolerance



# DBT Continued...

## Mindfulness

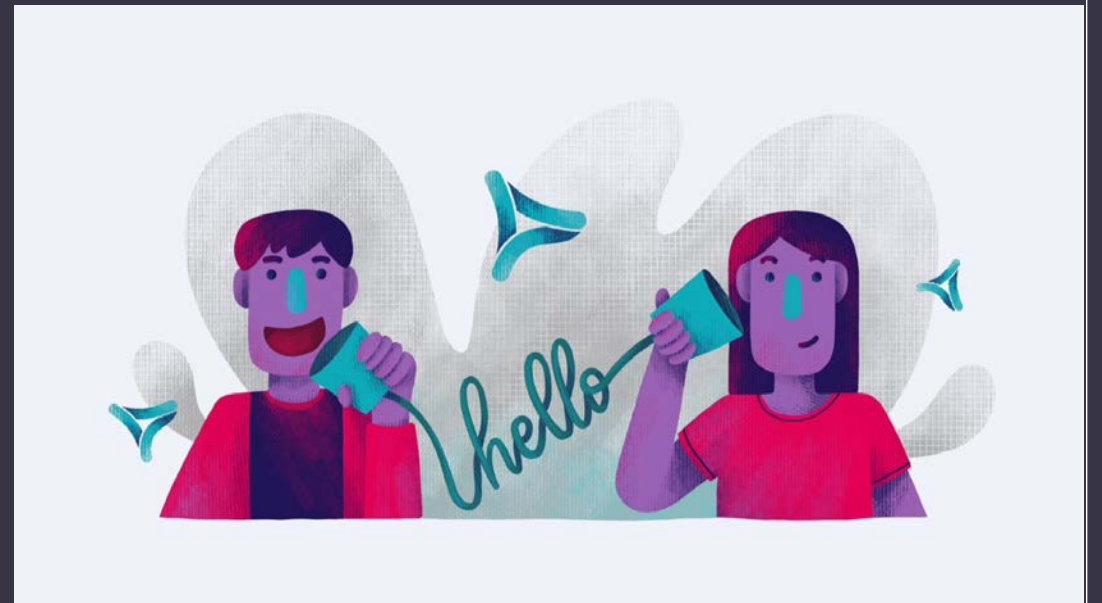
- Being Present
- Focusing on breath
- Allowing thoughts to come and go- refocusing on breath
- Making observations in a non-judgmental stance
  - Observations with judgement\*



# DBT continued...

## Interpersonal Effectiveness

- Assertiveness
  - Expressing needs
- Boundaries
- Maintaining relationships
  - Compassion for others
    - Hospital staff
    - Family members
  - Compassion for self



# DBT continued...

## Emotional Regulation

- Identify and name emotions
  - Core and secondary
- Reducing the need to react
- Being aware of them
  - Non-judgmental stance





# DBT continued...

## Distress Tolerance

- Managing internal and external crises
  - Without making the situation worse
- Acceptance
  - It is what it is
- Endure stress



# CASE EXAMPLE

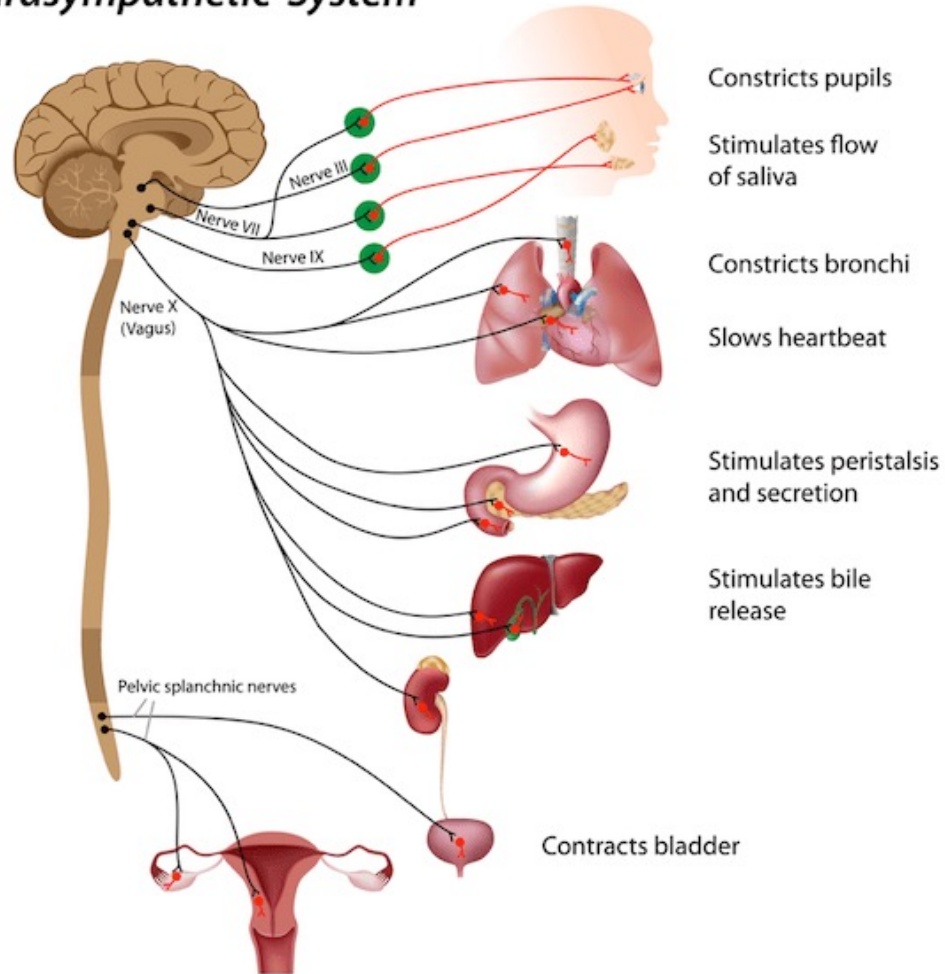
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# Practiced Skills



- Grounding Techniques
  - 5, 4, 3, 2, 1
- Radical Acceptance
  - As a tenant of distress tolerance
- T.I.P.P.
  - Temperature
  - Intense exercise
  - Paced breathing
  - Progressive muscle relaxation

## Parasympathetic System

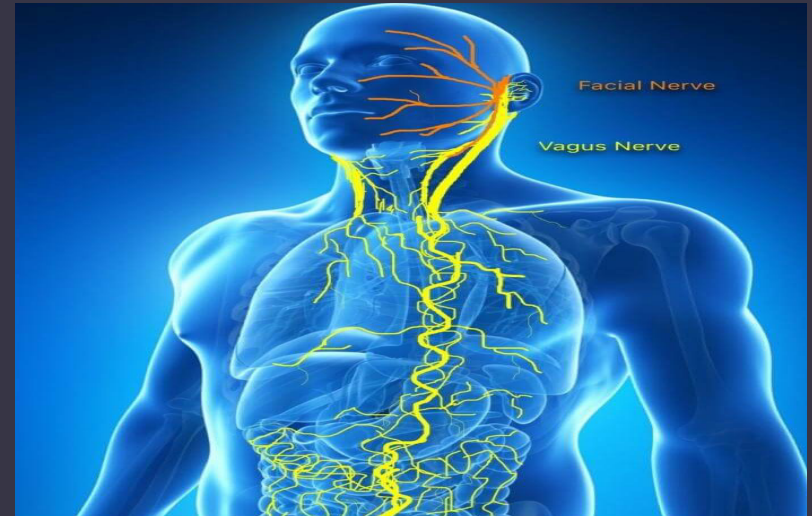


# Practiced Skills continued...

Activating the Vagus nerve

# Several Ways to Activate

- Holding ice cubes for several minutes
- Slow, rhythmic, diaphragmatic breathing
- Meditating
- Doing yoga



# Practiced Skills continued...

- Role-played (lack of trust with staff)
  - Being assertive in a respective way
  - Communicating needs to doctors on the unit
  - Competency evaluation
    - To determine if patient could regulate his emotions when discussing his case

# Struggles

- Patient often became uneasy with certain terms and concepts
  - Desensitizing feelings v. regulating emotions
  - Rejection of Acceptance
    - ***“I want to accept that I can prevent the pain from happening in the future”***
      - This term, ***“doesn’t sit well with my faith”***
  - His faith and reading the bible
    - ***“The only coping skill that’s effective”***

# Therapeutic Pivots

- Indirect approach
  - Being mindful of staff distrust
- Using his language and biblical archetypes to drive home radical acceptance and other DBT domains
- Provided validation for experiences, his hesitance with psychology, and distrust
- Practice, practice, practice



# Successes

- Self-regulate emotions without prompt
  - Used grounding technique on this on so session could progress
- Recognized when he became circumstantial
  - Redirected self
- Open to education

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